



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Examiner: Not Yet Assigned
J.S. Taylor)	-
Serial No.: Filed: For:	10/066,362) January 31, 2002) METHOD, SYSTEM, PROGRAM,) AND DATA STRUCTURE FOR) IMPLEMENTING A LOCKING) MECHANISM FOR A SHARED) RESOURCE))),	Art Unit: 2182
)))	RECEIVED OCT 0 7 2002 Technology Center 2100

Assistant Commissioner of Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

David W. Victor

Dated: October 1, 2002

Reg. No. 39,867

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to. Assistant Commissioner of Patents, Washington, D.C. 20231 on October 1, 2002.

David W. Victor

10/1/02 (Date)

FORM PTO-1449 Docket Number Application Number P6157 10/066,362 INFORMATION DISCLOSURE CITATION **Applicant** IN AN APPLICATION J.S. Taylor (Use several sheets if necessary) Group Art U闭 Filing Date January 31, 2002 2182 **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER** DATE NAME **CLASS SUBCLASS FILING DATE** INITIAL **APPROPRIATE** FOREIGN PATENT DOCUMENTS **DOCUMENT NUMBER** DATE **COUNTRY CLASS SUBCLASS Translation** YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Lamport, L. "The Mutual Exclusion Problem: Part I-A Theory of Interprocess Communication". Journal of the Association for Computing Machinery, Vol. 33, No. 2, April 1986, pp. 313-326. Ford, D. "Mututal Exclusion and Synchronization: Mimicking Win32 synchronization mechanisms". [online] Dr. Dobb's Journal, January 1998, [Retrieved on October 17, 2001]. Retrieved from the Internet at <URL: http://www.ddj.com/ articles/1998/9801/9801g/9801g.htm> Lamport, L. "The Mutual Exclusion Problem: Part I-A Theory of Interprocess Communication". Journal of the Association for Computing Machinery, Vol. 33, No. 2, April 1986, pp. 327-348. Herlihy, M. and J.E.B Moss. "Transactional Memory: Architectural Support for Lock-Free Data Structures". Copyright Digital Equipment Corporation and J.E.B. Moss, December 1, 1992. pp. 1-40. Kramer, D. "The Java Platform", Copyright Sun Microsystems, Inc., May 1996. pp. 1-24. **EXAMINER DATE CONSIDERED** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.